

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Piehler, et al.

Appl. No.: 10/785,564

Confirm. No.: 1341

Filed: February 24, 2004

Title: SYSTEMS FOR TYPE-INDEPENDENT  
SOURCE CODE EDITING

PATENT APPLICATION

Art Unit: 2192

Examiner: Chrystine Pham

Customer No. 23910

**CERTIFICATE OF TRANSMISSION/MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being electronically transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**, on the date shown below.

/Thomas K. Plunkett/

(Attorney Signature)

Thomas K. Plunkett, Reg. No. 57,253

Signature Date: June 20, 2007

**TRANSMITTAL LETTER RE INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ As allowed under 37 C.F.R. § 1.98(a)(2)(ii), no copies of the U.S. patents and U.S. patent application publications are enclosed, unless required by the office.
- ☐ As allowed under 37 CFR §1.98(d), copies of cited documents noted with an asterisk are not enclosed because they were previously submitted in U.S. Patent Application No.        /       , which is relied on for an earlier effective filing date under 35 USC §120, and which included an Information Disclosure Statement that complies with 37 CFR §1.98(a) through (c).
- ☐ A copy of an International Search Report dated        for Application No.       .

- ✓ If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

*This statement should be considered because:*

— **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under §1.53(d);  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

✓ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).  
-- OR --  
✓ (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the Issue Fee;  
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **PTA Statement under 37 C.F.R. §1.704(d).** Each item of information contained in the *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the *Information Disclosure Statement*.

— 37 C.F.R. §1.97(e)(1). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT each item of information contained in this *Information Disclosure Statement* was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this *Information Disclosure Statement*; or

— 37 C.F.R. §1.97(e)(2). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT no item of information contained in this *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this *Information Disclosure Statement* was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325.

Respectfully submitted,

FLIESLER MEYER LLP

Date: June 20, 2007

By: /Thomas K. Plunkett/  
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Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01439US1	Application/Patent Number 10/785,564
Information Disclosure Statement <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner Pichler, et al.	
		Filing/Issue Date February 24, 2004	Group Art Unit 2192

U.S. PATENTS							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	1.	7,076,772 B2	07/11/2006	Zatloukal			
	2.	7,127,704 B2	10/24/2006	Van De Vanter			
	3.	7,107,578 B1	09/12/2006	Alpern			
	4.	6,243,737 B1	06/05/2001	Flanagan			
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Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	24.	6,334,114	12/25/2001	Jacobs			
	25.	5,944,794 A	08/31/1999	Okamoto			
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Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
	28.	2004/0019645	01/29/2004	Goodman
	29.	2006/0206856 A1	09/14/2006	Breeden
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	31.	2005/0278585 A1	12/12/2005	Spencer
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	35.	2003/0046591 A1	03/06/2003	Asghari-Kamrani
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	37.	2006/0234678 A1	10/19/2006	Juitt
	38.	2002/0073396 A1	06/13/2002	Crupi

PENDING U.S. PATENT APPLICATIONS					
Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No

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FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans- lation Yes   No
NON-PATENT LITERATURE DOCUMENTS (Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, pages, volume-issue number(s), publisher, city and/or country where published.							
	39.	KILGORE, R.A., "Multi-language, open-source modeling using the Microsoft.NET Architecture," Proceedings of the 2002 Winter Simulation Conference, IEEE, pp. 629-633.					
	40.	EMBURY, S.M., et al., "Assisting the Comprehension of Legacy Transactions," Proceedings of the 8 <sup>th</sup> Working Conference on Reverse Engineering, 2-5 Oct. 2001, pp. 345-354.					
	41.	MAYS, E., et al., "A Persistent Store for Large Shared Knowledge Bases," IEEE Transactions on Knowledge and Data Engineering, Vol. 3, No. 1, March 1991, pp. 33-41.					
	42.	TANG, C., et al., "Integrating Remote Invocation and Distributed Shared State," Proceedings of the 18 <sup>th</sup> International Parallel and Distributed Processing Symposium, IEEE, 26-30 April, 2004.					
	43.	Hewlett-Packard Company, "hp application server," developer's guide version 8.0, 1999-2001, pp. 27-81, 127-160, 195-271.					
	44.	BOGUNOVIC, N., "A Programming Model for Composing Data-Flow Collaborative Applications," <i>ecbs</i> , p. 106, IEEE Conference and Workshop on Engineering of Computer-Based Systems, 1999.					
	45.	SUNG, S.Y., et al., "A Multimedia Authoring Tool for the Internet," Proceedings of the 1997 IEEE International Symposium on Consumer Electronics, December 1997, pp. 304-308.					
	46.	SMITH, M., et al., "Marching Towards a Software Resuse Future," ACM SIGAda Ada Letters, Vol. XIV, Issue 6, Nov./Dec. 1994, pp. 62-72.					
	47.	MOHAN, C., et al., "ARIES: A Transaction Recovery Method Supporting Fine-Granularity Locking and Partial Rollbacks Using Write-Ahead Logging," ACM Transactions on Database Systems, Vol. 17, No. 1, March 1992, pp. 94-162.					
	48.	JAVA™ Debugging Interface – definition, retrieved from <URL <a href="http://java.sun.com/j2se/1.4.2/docs/guide/jpda/jdi/overview-summary.html">http://java.sun.com/j2se/1.4.2/docs/guide/jpda/jdi/overview-summary.html</a> on 02/21/2007.					

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Examiner	Date Considered
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> <hr/> <p>*1 = Copy not submitted because it was submitted in prior application SN _/_____, filed _____, 20____, relied on under 35 USC §120.</p> <p>**2 = Copy not submitted because it was submitted in prior application SN _/_____, filed _____, 20____, relied on under 35 USC §120.</p>	